

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

CARL DONALD THOMPSON,  
Plaintiff,  
v.  
COMMISSIONER OF SOCIAL  
SECURITY,  
Defendant.

No. 2:20-cv-3-KJN

## ORDER ON PARTIES' CROSS-MOTIONS FOR SUMMARY JUDGMENT

(ECF Nos. 12, 24)

## COMMISSIONER OF SOCIAL SECURITY.

Defendant.

Plaintiff seeks judicial review of a final decision by the Commissioner of Social Security denying his application for Disability Insurance Benefits under Title II of the Social Security Act.<sup>1</sup> In his summary judgment motion, plaintiff contends the Administrative Law Judge (“ALJ”) erred by failing to find the opinion of a physician persuasive and by improperly rejecting plaintiff’s subjective-symptom testimony. The Commissioner filed a cross-motion for summary judgment, contending the ALJ’s decision is supported by substantial evidence and free from legal error.

For the reasons set forth below, the court DENIES the Commissioner's cross-motion for summary judgment, GRANTS plaintiff's motion, and REMANDS for further consideration of the

<sup>1</sup> This action was referred to the undersigned pursuant to 28 U.S.C. § 636 and Local Rule 302(c)(15). Both parties consented to proceed before a United States Magistrate Judge, and the case was reassigned to the undersigned for all purposes. (ECF Nos. 7, 8, 23.)

1 issues.

2 **I. RELEVANT LAW**

3 The Social Security Act provides benefits for qualifying individuals with disabilities.  
4 Disability is defined, in part, as an inability to “engage in any substantial gainful activity” due to  
5 “a medically determinable physical or mental impairment.” 42 U.S.C. §§ 423(d)(1)(a) (Title II);  
6 1382c(a)(3) (Title XVI). An ALJ is to follow a five-step sequence when evaluating an  
7 applicant’s eligibility for benefits.<sup>2</sup> 20 C.F.R. § 404.1520(a)(4).

8 A district court may reverse the agency’s decision only if the ALJ’s decision “contains  
9 legal error or is not supported by substantial evidence.” Ford v. Saul, 950 F.3d 1141, 1154 (9th  
10 Cir. 2020). Substantial evidence is more than a mere scintilla, but less than a preponderance, i.e.,  
11 “such relevant evidence as a reasonable mind might accept as adequate to support a conclusion.”  
12 Id. The court reviews the record as a whole, including evidence that both supports and detracts  
13 from the ALJ’s conclusion. Luther v. Berryhill, 891 F.3d 872, 875 (9th Cir. 2018). However, the  
14 court may review only the reasons provided by the ALJ in the decision, and may not affirm on a  
15 ground upon which the ALJ did not rely. Id. “[T]he ALJ must provide sufficient reasoning that  
16 allows [the court] to perform [a] review.” Lambert v. Saul, 980 F.3d 1266, 1277 (9th Cir. 2020).

17 The ALJ “is responsible for determining credibility, resolving conflicts in medical  
18 testimony, and resolving ambiguities.” Ford, 950 F.3d at 1154. Where evidence is susceptible to  
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20 <sup>2</sup> The sequential evaluation is summarized as follows:

21 **Step one:** Is the claimant engaging in substantial gainful activity? If so, the  
claimant is found not disabled. If not, proceed to step two.

22 **Step two:** Does the claimant have a “severe” impairment? If so, proceed to step  
three. If not, then a finding of not disabled is appropriate.

23 **Step three:** Does the claimant’s impairment or combination of impairments meet  
or equal an impairment listed in 20 C.F.R., Pt. 404, Subpt. P, App. 1? If so, the  
claimant is automatically determined disabled. If not, proceed to step four.

24 **Step four:** Is the claimant capable of performing past relevant work? If so, the  
claimant is not disabled. If not, proceed to step five.

25 **Step five:** Does the claimant have the residual functional capacity to perform any  
other work? If so, the claimant is not disabled. If not, the claimant is disabled.

26  
27 Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995). The burden of proof rests with the  
28 claimant through step four, and with the Commissioner at step five. Ford, 950 F.3d at 1148.

1 more than one rational interpretation, the ALJ’s conclusion “must be upheld.” Id. Further, the  
2 court may not reverse the ALJ’s decision on account of harmless error. Id.

3 **II. BACKGROUND AND ALJ’S FIVE-STEP ANALYSIS**

4 On July 14, 2017, plaintiff applied for Disability Insurance Benefits, alleging an onset  
5 date of October 2, 2016. (Administrative Transcript (“AT”) 175, electronically filed at ECF  
6 No. 11.) Plaintiff alleged disability due to his diabetes, back pain/damaged discs, high blood  
7 pressure, high cholesterol, and a heart condition. (See AT 86.) Plaintiff’s application was twice  
8 denied, and he sought review with an ALJ. (AT 98, 116, 128.) The ALJ held a hearing on  
9 October 10, 2018, where plaintiff testified about his conditions, and a Vocational Expert (“VE”)  
10 testified regarding available jobs for someone with plaintiff’s limitations. (AT 31-84.)

11 On April 23, 2019, the ALJ issued a decision determining that plaintiff was not disabled  
12 from his onset date forward. (AT 12-25.) At step one, the ALJ found plaintiff had not engaged in  
13 substantial gainful activity since his alleged onset date of October 2, 2016. (Id.) At step two, the  
14 ALJ noted plaintiff had the following severe impairments: diabetes mellitus with neuropathy;  
15 degenerative disc disease and stenosis of the lumbar spine; and obesity status post bariatric  
16 surgery in August 2018. (AT 15.) At step three, the ALJ determined plaintiff was not disabled  
17 under the listings. (AT 18, citing 20 C.F.R. Part 404, Subpart P, Appendix 1.)

18 The ALJ then determined plaintiff had the Residual Functional Capacity (“RFC”) to  
19 perform light work as defined in 20 C.F.R. § 404.1567(b), with the following exceptions:

20 [He] is limited to occasional climbing of ramps and stairs; he cannot  
21 climb ropes, ladders, or scaffolds. He requires a cane for ambulation.  
22 [He] is limited to frequent balancing and to occasional stooping,  
kneeling, crouching, and crawling. He must be protected from  
23 workplace hazards, such as unprotected heights and dangerous moving  
mechanical parts. His field of vision is limited and has limited ability to  
do written work, which he cannot perform for more than 1/3 of the day.

24 (Id.) In fashioning this RFC, the ALJ stated she considered plaintiff’s symptoms, the medical  
25 evidence, and professional medical opinions. (Id.) Relevant here, the ALJ found the opinion of  
26 neurosurgeon Dr. Senegor “not persuasive” because it was inconsistent with the medical record.  
27 (AT 23.) The ALJ also rejected the more limiting aspects of plaintiff’s subjective-symptom  
28 testimony as unsupported by the medical evidence, inconsistent with his prior reports to various

1 physicians, manageable with medication (when plaintiff complied with his treatment regimen),  
2 and not supported by the conservative treatment prescribed. (AT 20-23.) Based on this RFC and  
3 the VE's testimony, the ALJ concluded plaintiff was capable of performing past relevant "light"  
4 work as either an administrative clerk or management trainee, as generally performed in the  
5 national economy. (AT 24.) Thus, the ALJ determined plaintiff was not disabled for the relevant  
6 period. (AT 25.)

7 Plaintiff appealed and was appointed counsel. Thereafter, the Appeals Council affirmed  
8 the ALJ's decision. (AT 168, 1-7.) Plaintiff filed this action requesting review of the  
9 Commissioner's final decision, and the parties filed cross-motions for summary judgment. (ECF  
10 Nos. 1, 12, 24, 25.)

11 **III. DISCUSSION**

12 Plaintiff requests remand for additional proceedings, arguing the ALJ failed to:

13 (A) properly consider the medical opinion of Dr. Senegor in light of the medical evidence; and  
14 (B) acknowledge plaintiff's strong work history when considering his symptom testimony.

15 The Commissioner requests affirmance, arguing the ALJ: (A) properly weighed the  
16 medical evidence and opinions under the new regulations; and (B) need not have considered  
17 plaintiff's work history when resolving his symptom testimony.

18 **A. The ALJ failed to complete her analysis of the medical and opinion evidence.**

19 **Legal Standard**

20 On January 18, 2017, the Social Security Administration published comprehensive  
21 revisions to its regulations regarding the evaluation of medical evidence. See 82 Fed. Reg. 5844.  
22 For applications filed on or after March 27, 2017,<sup>3</sup> an ALJ need "not defer or give any specific

23 <sup>3</sup> For applications filed prior to March 27, 2017, an ALJ was to give more weight to "those  
24 physicians with the most significant clinical relationship with the plaintiff . . ." Carmickle v.  
25 Comm'r, 533 F.3d 1155, 1164 (9th Cir. 2008). This "treating physician rule" allowed an ALJ to  
26 reject a treating or examining physician's uncontradicted medical opinion only for "clear and  
convincing reasons," and allowed a contradicted opinion to be rejected for "specific and  
legitimate reasons that are supported by substantial evidence in the record." Id. However, this  
hierarchy is no longer applicable under the new regulations. See 82 Fed. Reg. 5844.  
27 Beyond abrogating the treating physician rule, it is not yet clear how much the new regulations  
28 affect other Ninth Circuit principles governing Social Security review, as appeals of decisions

1 evidentiary weight, including controlling weight, to any medical opinion(s) or prior  
2 administrative medical finding(s) (“PAMF”) [i.e., state-agency medical consultants], including  
3 those from [a claimant’s] medical sources.” See 20 C.F.R. § 404.1520c(a). Instead, an ALJ is to  
4 evaluate medical opinions and PAMFs by considering their “persuasiveness.” § 404.1520c(a). In  
5 determining how “persuasive” are the opinions of a medical source or PAMF, an ALJ must  
6 consider the following factors: supportability, consistency, treatment relationship, specialization,  
7 and “other factors.” § 404.1520c(b), (c)(1)-(5).

8 Despite a requirement to “consider” all factors, the ALJ’s duty to articulate a rationale for  
9 each factor varies. § 404.1520c(a)-(b). In all cases, the ALJ must at least “explain how [she]  
10 considered” the supportability and consistency factors, as they are “the most important factors.”  
11 § 404.1520c(b)(2). For supportability, the regulations state: “[t]he more relevant the objective  
12 medical evidence and supporting explanations presented by a medical source are to support his or  
13 her medical opinion(s) or prior administrative medical finding(s), the more persuasive [the  
14 opinion or PAMF] will be.” § 404.1520c(c)(1). For consistency, the regulations state: “[t]he  
15 more consistent a medical opinion(s) or prior administrative medical finding(s) is with the  
16 evidence from other medical sources and nonmedical sources in the claim, the more persuasive  
17 [the opinion or PAMF] will be.” § 404.1520c(c)(2). The ALJ is required to articulate findings on  
18 the remaining factors (relationship with claimant, specialization, and “other”) only where “two or  
19 more medical opinions or prior administrative medical findings about the same issue” are “not  
20 exactly the same,” and both are “equally well-supported [and] consistent with the record.”

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21 governed by the new regulations are only just beginning to reach the district courts. In the  
22 absence of binding interpretation by the Ninth Circuit, the court joins other district courts in  
23 concluding that longstanding general principles of judicial review—especially those rooted in the  
24 text of the Social Security Act—still apply to cases filed on or after March 27, 2017. Cf, e.g.,  
25 Jones v. Saul, 2021 WL 620475, \*10 (E.D. Cal. Feb. 17, 2021) (finding the ALJ legitimately  
26 found a physician’s opinion unpersuasive by accurately noting the inconsistency between the  
27 opinion and the treatment notes, relying in part on Valentine v. Comm’r, 574 F.3d 685 (9th Cir.  
28 2009) (holding that a contradiction between an opinion and treatment notes constitutes a “specific  
and legitimate” reason for rejecting the physician’s opinion)); with Mark M. M. v. Saul, 2020 WL  
2079288, (D. Mont. Apr. 29, 2020) (finding the ALJ failed to “link purportedly inconsistent  
evidence with the discounted medical opinion,” relying on Magallanes v. Bowen, 881 F.2d 747  
(9th Cir. 1989) (requiring the ALJ to provide a detailed and thorough summary of conflicting  
evidence, and an interpretation and findings thereon)).

1       § 404.1520c(b)(2)&(3). An ALJ may address multiple opinions from a single medical source in  
2       one analysis. § 416.920c(b)(1) (“source-level articulation”).

3       **Analysis**

4       Plaintiff primarily alleges error in the ALJ’s analysis of neurosurgeon Dr. Senegor’s  
5       opinion, arguing the ALJ selectively cited to the record and ignored corroborating medical  
6       evidence. The court concurs with plaintiff (despite substantial agreement with the Commissioner  
7       on numerous individual issues, discussed below), and finds the ALJ impermissibly cherry-picked  
8       the record when analyzing the consistency of Dr. Senegor’s opinion.

9       To review, the ALJ found the prior administrative medical findings of Drs. Hyunh and  
10      Douglas “persuasive” as to plaintiff’s physical limitations, adopting them into the RFC almost  
11      verbatim. (AT 23; see also AT 18 (RFC allowing for light-work and noting plaintiff’s ability to  
12      lift and carry 20 lbs. occasionally/10 lbs. frequently; sit, stand, and walk for 6 hours; frequently  
13      balance; and occasionally perform other physical acts).) The ALJ supported her analysis by  
14      citing to certain medical records existing across the longitudinal record concerning plaintiff’s  
15      strength, sensation in his lower extremities, lumbar and hip range of motion, gait, and levels of  
16      pain. (See AT 20-21, 23.) Thus, the prior administrative medical findings of Drs. Hyunh and  
17      Douglas appear supported and consistent with the record. 20 C.F.R. § 404.1520c(b)-(c).

18      Conversely, in October 2018, Dr. Senegor assessed work-related limitations of “[n]o  
19      lifting over 10 pounds, no excessive sitting or standing over 30 minutes at a time, [and] no  
20      excessive bending, stooping or twisting.” (AT 1241; see also AT 692 (June 2017 entry from Dr.  
21      Senegor with similar findings).) Dr. Senegor believed these limitations were necessary based on  
22      his knowledge of plaintiff’s medical history, his year-and-a-half-long treatment of plaintiff, and  
23      his opinion that plaintiff’s “lumbar scoliosis and stenosis at the L3-4 L4-5 levels” would require  
24      lumbar fusion surgery sometime in early 2019 (around when the ALJ issued her decision). (AT  
25      1241.) Dr. Senegor noted his belief that an April 2017 CT scan “showed a scoliotic orientation of  
26      the L3-4 disc space with a collapsed [sic] on the right side and traction spurs on the right,” as well  
27      as “stenosis at L3-4 and L4-5.” (Id., citing AT 300.) Dr. Senegor also opined that physical  
28      therapy had proven ineffective, and that epidural injections had not fully resolved plaintiff’s

1 lower back and right hip pain. (Id.) Dr. Senegor concluded by opining that plaintiff was  
2 “permanently disabled from engaging in gainful employment.” (Id.)

3 The ALJ found Dr. Senegor’s opinion “not persuasive,” explaining:

4 The opinion of Dr. Senegor is not persuasive as it is inconsistent  
5 with the record as discussed under the relevant factors of SSR 16-  
6 3p. For example, he mentioned that a CT scan from April 2017  
7 allegedly showed L3-4 disc space with collapse on the right side,  
8 but the CT scan did not show the alleged condition. An MRI scan  
9 did not show it either. Moreover, notes from August 2018 report  
10 claimant’s pain level is 3/10 with medications and 8/10 without.  
There were no side effects from medication and a medial branch  
block provided 3 weeks of relief. The claimant reported temporary  
decrease in overall symptoms with medications and was taking only  
about 3 tablets of Tramadol a day on average. In addition, the  
opinion is inconsistent with the [prior administrative medical  
findings]. (Exs. 2F at 6, 5F at 13, 26F at 84, 31F).

11 His opinion is also inconsistent with the medical evidence of record  
12 as previously discussed, e.g., examination of the claimant in March  
13 2017 noted his muscle strength in the upper lower extremities was  
14 5/5. Muscle tone in the upper and lower extremities was normal.  
15 There was no cogwheel, spasticity, atrophy, abnormal movements,  
16 fasciculation, or dyskinesias. Cranial Nerves showed: fair visual  
17 acuity, visual fields were full to confrontation; pupils were equal  
18 reactive to light and accommodation, normal eye movement;  
normal facial sensation, corneal reflexes present; face symmetric;  
normal and symmetric strength hearing fair; tuning fork symmetric  
hearing; able to listen whispered voice and/or finger rob gag reflex  
and palate movements normal. Shoulders shrug strength was  
normal and symmetric. The tongue was central with normal  
protrusion. Deep tendon reflexes were 1 + in the upper and lower  
extremities. The coordination of the finger/nose and heel/knee/shin  
was normal. There was normal rapid alternating movements in the  
upper and lower extremities. The claimant was diagnosed with  
peripheral neuropathy and intermittent low back pain. (Ex. 3F at  
309-310).

21 (AT 23-24.) Some of this rationale suffices under Ninth Circuit precedent.

22 First, as to Dr. Senegor’s diagnosis of collapsed disc space on the right side at L3-4, the  
23 ALJ stated that neither the CT scan the doctor cited nor the April 2017 MRI “show[ed] the  
24 alleged condition.” (AT 23, citing AT 300 (CT) and 1159 (MRI).) Plaintiff contends the ALJ  
25 was impermissibly “playing doctor” when examining these records because she made  
26 independent findings about what the CT and MRI scans showed. See, e.g., Neydavoud v. Astrue,  
27 830 F. Supp. 2d 907, 913 (C.D. Cal. 2011) (holding that an ALJ “is not allowed to use [her] own  
28 medical judgment in lieu of that of a medical expert”). However, it appears the ALJ was simply

1 relying on the impressions made by the technician who completed those procedures. This  
2 reliance was not in error. See Morgan v. Comm'r, 169 F.3d 595, 603 (9th Cir. 1999) (holding  
3 that ALJ was “responsible for resolving conflicts” and “internal inconsistencies” within doctor’s  
4 reports).

5 Second, as to the portion of Dr. Senegor’s opinion that relied on records of pain, the ALJ  
6 accurately noted plaintiff’s pain was abating in late 2018 due to the success of his medication  
7 regimen and a “medial branch block” treatment. (AT 23, citing AT 1179, 1243-50, Ex. 27F.)  
8 This finding, and reliance on the records cited, was not error. See, e.g., Warre v. Comm'r, 439  
9 F.3d 1001, 1006 (9th Cir. 2006) (“Impairments that can be controlled effectively with medication  
10 are not disabling[.]”).

11 Third, the ALJ accurately noted how Dr. Senegor’s opinion was inconsistent with the  
12 prior administrative medical findings of Drs. Hyunh and Douglas. (AT 23-24.) This is not only  
13 accurate, but self-evident from the text of the doctor’s opinions and administrative findings.<sup>4</sup>

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14 <sup>4</sup> In the briefing, the Commissioner offers additional arguments as to why the ALJ did not  
15 err when resolving Dr. Senegor’s opinion. However, the ALJ did not explicitly reference these  
16 additional reasons, and the court can only affirm on the reasons stated in the decision. Luther,  
17 891 F.3d at 875 (stating that the court may only review the reasons provided by the ALJ in the  
18 decision, and may not affirm on a ground upon which the ALJ did not rely). However, since the  
court is remanding for further proceedings, and wishes to provide further guidance on its  
interpretation of the new regulations, these arguments are addressed as follows.

19 First, it appears the Commissioner is correct that the ALJ was not required to give  
20 deference to Dr. Senegor under the “treating physician rule,” as this rule was replaced by a  
21 paradigm that places all medical opinions “on equal footing.” (ECF No. 24 at 21.) Plaintiff does  
22 not disagree, and notes in his reply that any references to Dr. Senegor being a “treating physician”  
23 is not meant to revive this old hierarchy. (ECF No. 25.) Instead, plaintiff argues Dr. Senegor’s  
relationship is relevant under Section 404.1520c(c)(3)—an issue the ALJ would have discussed  
had she found Drs. Senegor, Hyunh, and Douglas “equally persuasive” on some issue. The  
undersigned previously provided an analysis of the “equally persuasive” issue, but this order was  
vacated. The issue is explicitly left for another case.

24 Second, as to Dr. Senegor’s statement that plaintiff is “permanently disabled” (AT 1241),  
25 the regulations make clear that conclusions regarding disability are explicitly “reserved to the  
Commissioner,” and a physician’s conclusion on this subject need not be considered. See 20  
C.F.R. § 404.1520b(c)(3)(i) (finding “[s]tatements that you are or are not disabled” to be  
 “[e]vidence that is inherently neither valuable nor persuasive”).

26 Third, though the ALJ noted plaintiff’s “primary care physician had released [plaintiff] to  
27 go back to work,” (AT 23), the ALJ did not cite to this opinion when resolving Dr. Senegor’s  
28 expressed limitations. (See AT 23-24.) This makes sense, because this “release” was included in  
a progress note from plaintiff’s psychologist, and only concerned plaintiff’s mental state. (See

1           If the record was limited to the evidence as the ALJ described, her articulated rationale  
2 would support a finding that Dr. Senegor's opinion was inconsistent with the medical evidence  
3 from other medical and nonmedical sources. However, the ALJ's attempt to resolve Dr.  
4 Senegor's opinion is a classic case of cherry-picking. As plaintiff notes, there is ample "objective  
5 medical evidence" (20 C.F.R. § 404.1502(f)) in the record from multiple of plaintiff's other  
6 "medical sources" (20 C.F.R. § 404.1502(d)) that supports Dr. Senegor's opinions, conflicts with  
7 the prior administrative medical findings, and stretches across a lengthy treatment period. (See,  
8 e.g., AT 607, 734, 772, 1116, 1123-24, 1126, 1190, 1246 (notes in the record concerning  
9 "reduced strength" and "decreased or absent sensation" in plaintiff's lower extremities"); AT 310,  
10 608-09, 772, 1190, 1246 (records concerning "reduced lumbar and hip range of motion"); AT  
11 606, 612, 772, 1190, 1246 (records demonstrating "abnormal" gait); AT 309, 520, 608, 611, 728,  
12 754, 771, 774, 1111-13, 1124, 1160, 1188, 1245, 1247, 1249 (records demonstrating complaints  
13 of severe pain).) Critically, the majority of the medical records cited by the ALJ were generated  
14 between September of 2016 and June of 2017—prior to Dr. Senegor's treatment of plaintiff. (See  
15 AT 20-22, often citing to AT 304-657 (Ex. 3F); see also AT 1241 (noting Dr. Senegor's treatment  
16 began in June of 2017).) However, a substantial portion of the medical evidence supporting Dr.  
17 Senegor's opinion of worsening conditions was generated in the last year leading up to the ALJ's  
18 decision. (See, e.g., AT 1191 (June 2018 record from plaintiff's P.A. noting plaintiff "has already  
19 been treated conservatively without lasting results"); see also AT 1241 (record demonstrating Dr.  
20 Senegor's intent to perform lumbar fusion surgery, despite the "temporary relief in plaintiff's pain  
21 level from medications and injections")) The physicians who reviewed the record at the initial  
22 and reconsideration stages did not have the benefit of this latter evidence, as they conducted their  
23 reviews in 2017 and January 2018. Thus, the ALJ's description of the record fails to take account  
24 of significant medical evidence.

25           The Commissioner notes that the new regulations only require an ALJ to articulate why a  
26 doctor's opinion was unsupported and inconsistent with the record. See § 404.1520c(b)-(c). The  
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28 AT 321.) Thus, it appears inapposite to Dr. Senegor's opinions on plaintiff's physical limitations.

1 Commissioner argues the ALJ met her burden here by (i) labeling Dr. Senegor's opinion as "not  
2 persuasive" and citing to records supporting this conclusion, and (ii) relying on the prior  
3 administrative medical findings of Drs. Hyunh and Douglas, whose opinions formed the basis for  
4 the majority of the limitations expressed in the RFC. Thus, the Commissioner contends that  
5 because the ALJ found Dr. Senegor's opinion not equally persuasive, there was no need to  
6 expound on the remaining factors of Section 404.1520c(c)(3)-(5). As the court understands the  
7 Commissioner's argument, when an ALJ wishes to label a medical opinion unpersuasive, all she  
8 need do is cite to a few pieces of evidence that contradict the opinion in order to fulfill her duty  
9 under the new regulations—despite the fact that this "unpersuasive" opinion may be:  
10 (c)(1) supported by that source's medical evidence and explanations, and (c)(2) consistent with  
11 evidence from other medical and non-medical sources in longitudinal record.

12 The Commissioner's framework presents two obvious problems. First, it would allow an  
13 ALJ to simply ignore evidence in the record that aligns with the opinion. Though the regulations  
14 have changed, the governing statute still requires an ALJ to base the decision on "all the evidence  
15 available in the [record]." See 42 U.S.C. § 423(d)(5)(B); Ghanim, 763 F.3d at 1164. Second, if  
16 an ALJ were allowed to pick through the record for facts that align with an "unpersuasive"  
17 finding, and ignore facts that might otherwise call that finding into question, a reviewing court  
18 would be required to ignore large portions of the record simply because the ALJ also avoided  
19 discussing such evidence. This argument is in conflict with long-standing Ninth Circuit  
20 precedent that a court may not affirm by isolating a "specific quantum of supporting evidence."  
21 Hammock v. Bowen, 879 F.2d 498, 501 (9th Cir. 1989). As a court in this district recently noted,  
22 the ALJ is still required to fully articulate the rationale relied upon, and this may require some  
23 explanation of why seemingly-consistent evidence is rejected. See Jones v. Saul, 2021 WL  
24 620475 \*8 (the ALJ cannot "forego articulation of their reason or reasons altogether"); see also  
25 Lambert, 980 F.3d at 1277 ("[T]he ALJ must provide sufficient reasoning that allows [for]  
26 review."). Simply labeling an opinion "unpersuasive," and failing to discuss the evidence  
27 supporting or consistent with that opinion, leaves the court (and likely the claimant too) with little  
28 understanding of why this evidence was discarded in favor of other, highlighted evidence.

1           As of this order, no circuit court has weighed in on whether ALJ's are now allowed to  
2 cherry-pick the record in making a supportability or consistency finding, or what kinds of  
3 findings explicitly constitute cherry-picking under the new regulations. Recently, however,  
4 numerous district courts across the country have remanded where evidence supporting or  
5 consistent with a rejected medical opinion was ignored. See, e.g., White v Comm'r, 2021 WL  
6 858662, \*20-21 (N.D. Ohio Mar. 8, 2021) (finding the ALJ failed to explain why a physician's  
7 limiting opinion was not persuasive in the face of evidence that supported and was consistent with  
8 the opinion; relying on prior circuit precedent that “[i]f relevant evidence is not mentioned, the  
9 court cannot determine if it was discounted or merely overlooked”); Kaehr v. Saul, 2021 WL  
10 321450, \*2-4 (N.D. Ind. Feb. 1, 2021) (finding the ALJ “cherry-picked evidence, and thus didn't  
11 provide substantial evidence to support his conclusion,” where the decision did not discuss the  
12 supportability of a physician's limiting opinion and did not consider the totality of the record in  
13 evaluating the opinion's consistency; citing prior circuit precedent applicable to the new  
14 regulations); Vellone v Saul, 2021 WL 319354, \*9-10 (S.D.N.Y. Jan. 29, 2021) (finding the  
15 ALJ's RFC determination “not supported by substantial evidence” where the ALJ “cherry-picked  
16 treatment notes that supported his RFC determination [at times indicating normal gait and spine]  
17 while ignoring equally, if not more significant evidence [indicated abnormal gait and worsening  
18 lower back pain] in those same records”; relying on cases prohibiting cherry-picking);  
19 Etherington v. Saul, 2021 WL 414556, \*4-5 (N.D. Ind. Jan. 21, 2021) (finding “a good deal in the  
20 record that cuts against [the ALJ's supportability and consistency] determination,” and noting  
21 “this evidence received no such attention”; relying on prior circuit precedent prohibiting cherry-  
22 picking); Audrey P. v. Saul, 2021 WL 76751, \*9-10 (D.R.I. Jan. 8, 2021) (remanding for further  
23 consideration where “dramatic example[s]” of cherry-picking led the ALJ to ignore a source's  
24 “overarching conclusion that Plaintiff suffered from significant and unresolved ‘[f]unctional  
25 difficulty includ[ing] standing, sitting, bending over and walking all 2/2 pain’”); Pearce v. Saul,  
26 2020 WL 7585915, \*4-6 (D.S.C. Dec. 22, 2020) (noting the plethora of medical records  
27 supporting and consistent with a physician's limiting opinion when determining the ALJ cherry-  
28 picked the evidence to discount this opinion, and holding that “[a]lthough the ALJ appears to

1 have considered the appropriate factors, [she] failed to explain how the evidence supports her  
2 conclusion and meaningful review is frustrated”; relying on recent circuit precedent under the old  
3 regulations stating that “specious inconsistencies cannot reasonably support a rejection of medical  
4 opinions or other evidence”); see also, e.g., Branham v. Comm’r, 2021 WL 1589378, at \*6 (N.D.  
5 Ind. Apr. 23, 2021); Tumlin v. Comm’r, 2021 WL 1214880, at \*10 (M.D. Fla. Mar. 31, 2021);  
6 Drake v. Comm’r, 2021 WL 1214689, at \*4 (N.D. Ohio Mar. 31, 2021).

7 In comparison to these cases, other district courts have found it entirely appropriate for an  
8 ALJ to articulate a cursory rationale on the supportability and consistency factors where there was  
9 no evidence in the record to support a medical opinion. See, e.g., Olson v. Saul, 2021 WL  
10 1783136, at \*2 (W.D. Wis. May 5, 2021) (recognizing that the the ALJ “may not ignore evidence  
11 that undermines her conclusions,” but finding that plaintiff “doesn’t point to any such evidence.”);  
12 Paula J.S., v. Comm’r, 2021 WL 1019939, at \*4 (W.D. Wash. Mar. 17, 2021) (“Plaintiff claims  
13 the ALJ cherry-picked the record[, but] does not point the Court to any examples of alleged  
14 omissions.”); Jones v. Berryhill, 392 F. Supp. 3d 831, 339 (M.D. Tenn. 2019) (affirming ALJ’s  
15 finding that physician’s opinion was unpersuasive because it was “not supportable or consistent  
16 with the record,” where there was no evidence in the entire case record supporting the physician’s  
17 opinion).

18 The undersigned finds the reasoning of the above cases highly persuasive and relevant to  
19 plaintiff’s case. As detailed above, the ALJ failed to discuss significant objective medical  
20 evidence from other sources that is consistent with Dr. Senegor’s opinion. Because the ALJ  
21 cherry-picked facts here when resolving Dr. Senegor’s opinion, the proper remedy is remand,  
22 where the ALJ may either (a) reaffirm her decision after a more thorough explanation of why Dr.  
23 Senegor’s opinion was inconsistent, or (b) award benefits. Ford, 950 F.3d at 1154 (the ALJ is  
24 responsible for resolving conflicts and ambiguities in the record).<sup>5</sup>

25 <sup>5</sup> In the Commissioner’s ten-page summary of the medical evidence, there are multiple  
26 descriptions of portions of the record that the ALJ did not discuss. (See ECF No. 24 at 2-11.)  
27 The overall character of these citations concern various facts that an ALJ might consider when  
28 entering a finding of malingering. (See, e.g., id. at 2 (“Plaintiff said he had been taking his  
mother’s Norco (an opioid medication) for pain (AR 520.”); id. at 3 (“adding that he had been  
eating more brownies with THC, purportedly to help his mood (AR 361.”); id. at 4 (“Between

1                   **B. The ALJ's analysis of plaintiff's subjective-symptom testimony.**

2                   Because further proceedings are required, the court does not reach plaintiff's subjective-  
3 symptom-testimony argument. On remand, it is of course within the ALJ discretion as to how  
4 much additional analysis should be performed on this issue.

5                   **ORDER**

6                   Accordingly, IT IS HEREBY ORDERED that:

- 7                   1. The Commissioner's motion for summary judgment (ECF No. 24) is DENIED;
- 8                   2. Plaintiff's motion for summary judgment (ECF No. 12) is GRANTED;
- 9                   3. The final decision of the Commissioner is REVERSED AND REMANDED for  
10                   further proceedings; and
- 11                   4. The Clerk of Court shall issue judgment in plaintiff's favor and CLOSE this case.

12                   Dated: May 11, 2021

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14                   thom.3

  
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KENDALL J. NEWMAN  
UNITED STATES MAGISTRATE JUDGE

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19                   April 28 and June 12, 2017, Plaintiff approached at least five different clinicians requesting  
20 assistance with his efforts to obtain disability benefits, offering varied explanations for why he  
21 should be found disabled. All declined."); *id.* at 5 ("The clinician noted Plaintiff's frustration over  
22 his refusal to complete the disability forms (AR 328."); *id.* ("[O]n May 17, 2017, Plaintiff  
23 expressed similar frustrations to Ms. Coble, stating that he was stressed by his lack of income and  
24 frustrated because his primary care practitioner released him to return to work, contrary to his  
25 wishes (AR 321."); *id.* at 6 ("On June 14, 2017, just two days after Dr. Wang refused to  
26 complete disability paperwork, Plaintiff met with a primary care physician . . . at Lodi Health, . . .  
27 expressing dissatisfaction with his prior physician, purportedly because she accused him of drug-  
28 seeking behavior and did not help him (AR 754."); *id.* at 9 ("Rather than return to Dr. Aquino  
[after she recommended conservative treatment], Plaintiff opted to find a new provider.").)  
However, as the ALJ made no finding of malingering, the undersigned can only conclude the  
Commissioner refers to these portions of the record in order to call into question plaintiff's  
character. In the absence of a malingering finding for this court to review, these background  
events are quite irrelevant. The court finds the Commissioner's extreme emphasis on these  
details an inappropriate and unhelpful distraction from the court's ultimate duty simply to review  
the findings stated by the ALJ for legal error and substantial evidence.